

# Towards the Single Breath Disease-Diagnosis Breathalyzer

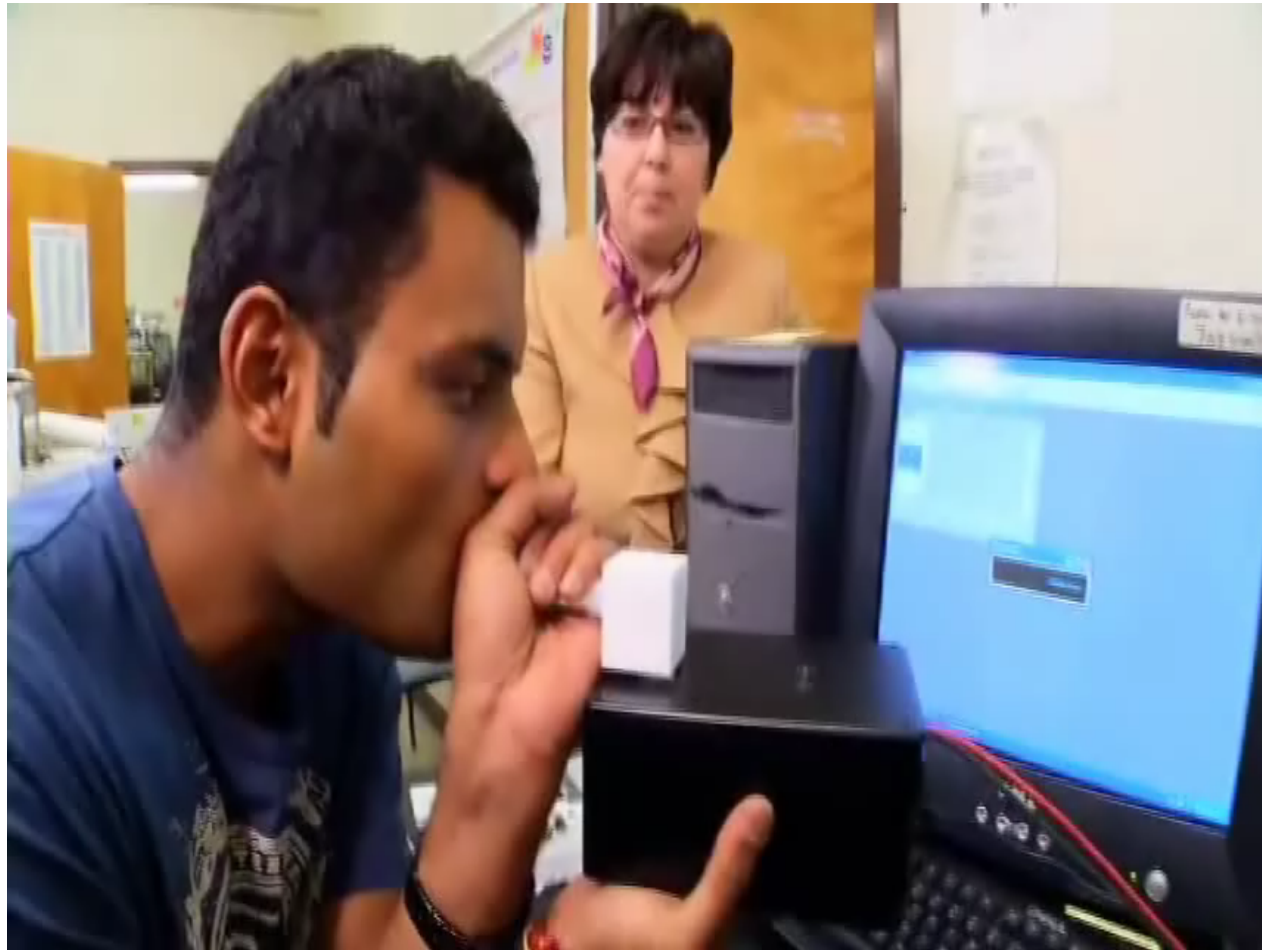
Perena Gouma



<https://web.stonybrook.edu/cnsd/formservertemplates/page.html>

# OUR PORTABLE, HANDHELD, POINT OF CARE BREATHALYZER

[http://www.nsf.gov/news/special\\_reports/science\\_nation/breathprinting.jsp](http://www.nsf.gov/news/special_reports/science_nation/breathprinting.jsp)



## EVERYONE CAN PROVIDE A SINGLE BREATH (EXHALE) SAMPLE



<http://www.pipa.org.au/>



[http://www.attorneyhoyle.com/aging\\_incapacity.html](http://www.attorneyhoyle.com/aging_incapacity.html)



<http://www.armytimes.com/article/20130128/NEWS/301280315/Fleetwide-breath-tests-start-now>



<http://flickrhivemind.net/>



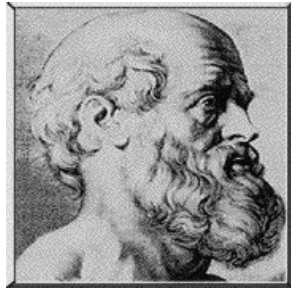
## Point-of-Care Breath Diagnostics





# BREATH GASES AS DISEASE MARKERS

## TIMELINE OF BREATH ANALYSIS



Antiquity:  
Hippocrates  
fator hepaticus

**Hippocrates** of Cos  
(ca. 460 BC – ca. 370  
BC) – father of  
modern medicine

Linus Pauling's  
GC analysis  
of breath

1972  
Orthomolecular  
medicine

The Dawn of  
Nanomedicine

2000  
Nanotechnology for  
prevention, early detection  
and treatment of disease

# Breath Analysis

## CAPTURE YOUR BREATH

**Over 1000 compounds**

**Trace Concentrations**

**Sampling Issues**

# THE CHALLENGE TO DEVELOP A PORTABLE, SIMPLE, BREATHALYZER

Selective Sensing Elements to  
Signaling Metabolites

Sensors with Extreme  
Sensitivity

Single Breath Sampling Devices



## NEED FOR “EXTREME” SENSOR SENSITIVITY

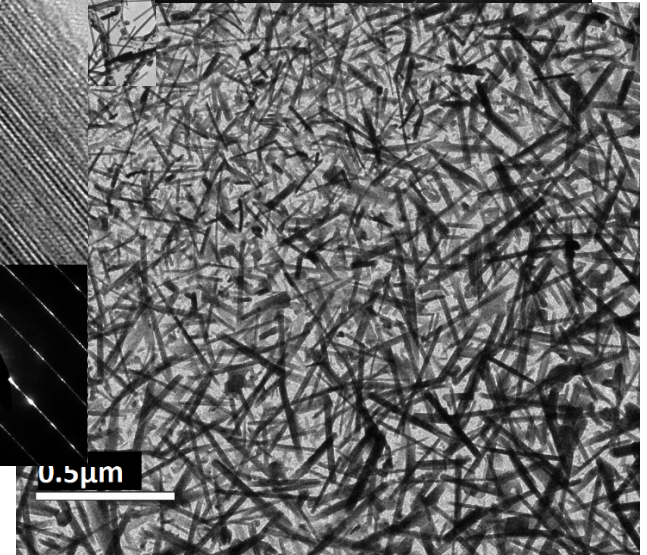
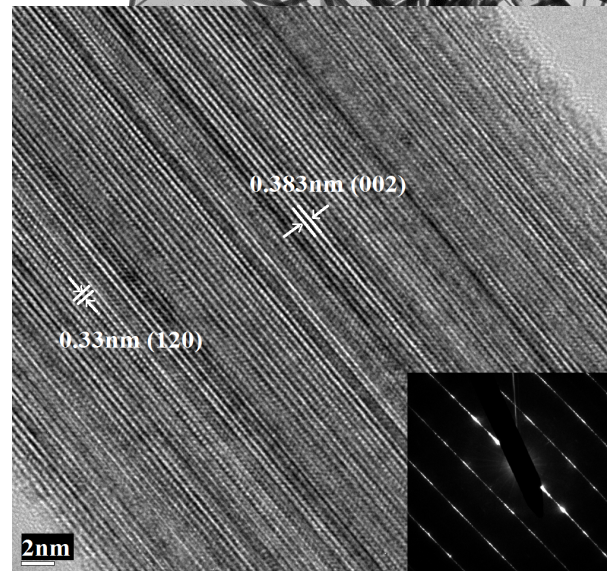
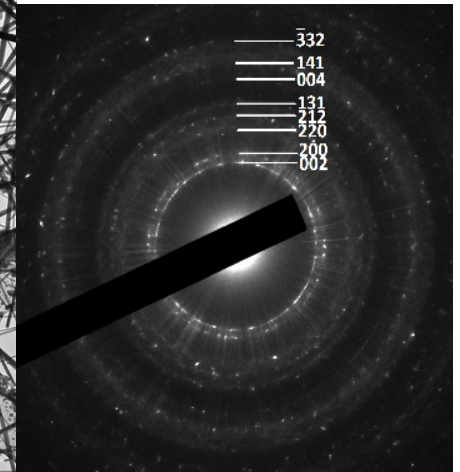
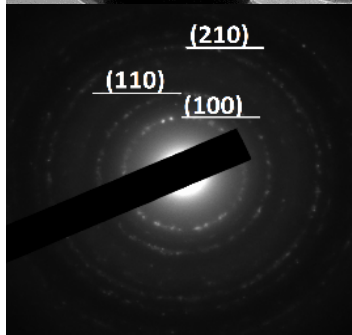
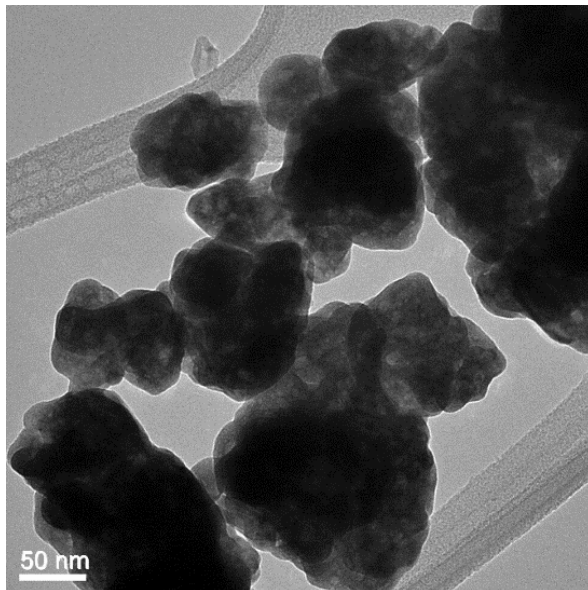
Biomarkers	Physiological origin	Related diseases	Physiological ranges in human breath
Ethane	Lipid peroxidation	Oxidative stress	1-11 ppb
Pentane	Lipid peroxidation	Oxidative stress	Less than ethane
Isoprene	Cholesterol biosynthesis	Cholesterol metabolic disorder	55-121 ppb; 12-580 ppb;
Acetone	Decarboxylation of acetoacetate and acetyl-CoA	Diabetes mellitus, ketonemia	293-870 ppb; 1.2-1880 ppb
Ethanol	Alcohol ingestion	Alcohol poisoning	27-153 ppb; 13-1000 ppb
Methanol	Degradation of natural pectin from plants; ingestion	Methanol intoxication	160-2000 ppb
NH <sub>3</sub>	Metabolic product of amino acid deamination	Uremia, kidney impairment	422-2389 ppb; 200-1750 ppb
CO	Inhalation from In-complete burning of carbon containing fuels, e.g. smoking	Lung diseases	<6 ppm
NO	L-arginine oxidation	Asthma, lung diseases	1-9 ppb, lower respiratory; 0.2-1 ppm upper respiratory; 1-30 ppm, nasal level.

A. Amann and D. Smith, *Breath Analysis for Clinical Diagnosis and Therapeutic Monitoring*, World Scientific, Singapore, 2005

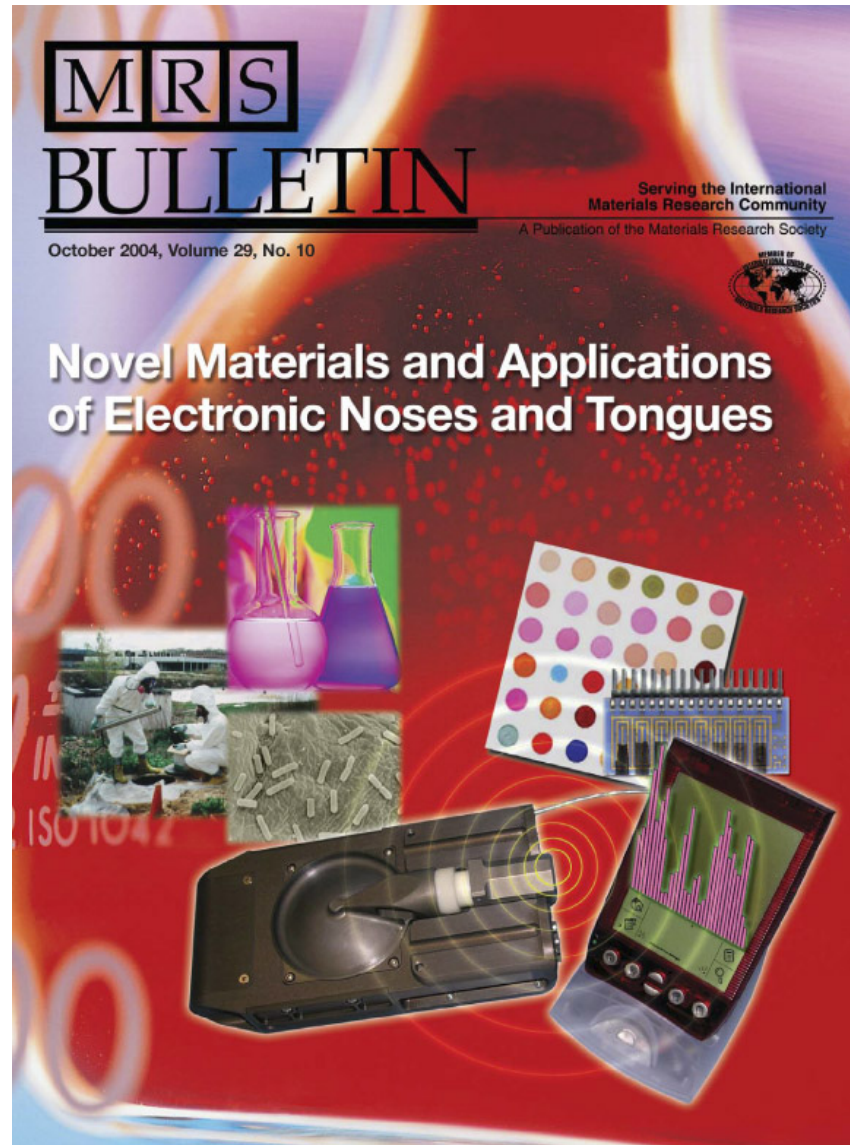
# High-Energy Electron Beam Lithography based on polymorphic phase reactions

Metastable (cubic)

Stable (monoclinic)



## THE NON-SELECTIVE SENSOR-ARRAY ELECTRONIC OLFACTION CONCEPT



P. Gouma and G. Sberveglieri, "Novel Materials and Applications of Electronic Noses and Tongues", MRS Bulletin, 29 (10), pp. 697-700, 2004.



## OUR GAS-SELECTIVE BREATHALYZER



[http://www.nsf.gov/news/special\\_reports/science\\_nation/breathprinting.jsp](http://www.nsf.gov/news/special_reports/science_nation/breathprinting.jsp)

## **Transformative Technology:**

- Inexpensive, affordable, non-invasive, health-promoting tool
- Novel portable device with capability of transmitting data wirelessly to health care providers
- Permits prompt decision making by professionals remotely without necessitating a trip to a hospital or health care facility

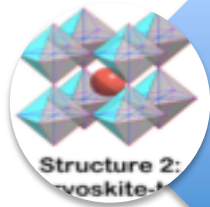
## **Beyond BAC: How the Breathalyzer Is Poised to Revolutionize Medical Diagnostics**

<http://mashable.com/2011/09/07/breathalyzer-medicine/>

**“Dr. Gouma's team's nanosensors utilize resistive semiconducting technology — they make for a scientific yet economic tool (roughly \$20 per breathalyzer) that allow her to test for particular chemicals.”**



## CRYSTALLO-CHEMICAL APPROACH TO SELECTIVE CHEMOSENSING



**Group A: oxides having the  $ReO_3$  structure**  
**( $\gamma-WO_3$ ,  $\beta-MoO_3$ )**



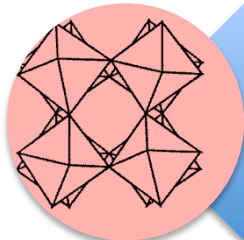
marker for  
inflammation  
in lungs



**Group B: oxides having a weakly bonded layered structure**  
**( $\alpha-MoO_3$ ,  $h-WO_3$ )**



marker for renal  
diseases



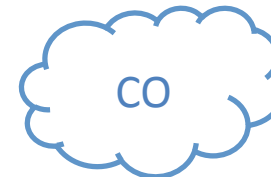
**Group C: acentric crystal structures-ferroelectric polymorphs**  
**( $\epsilon-WO_3$ )**



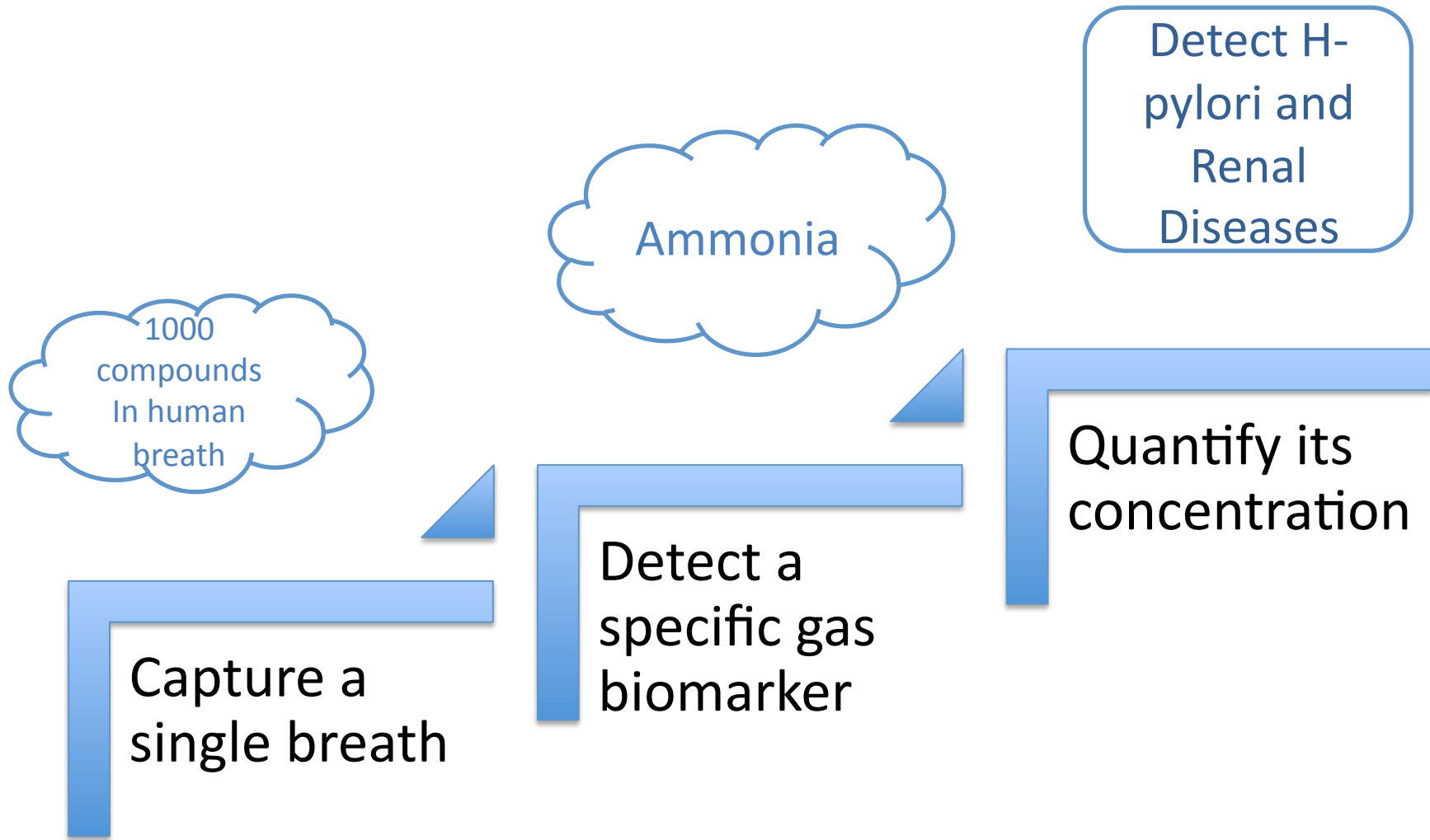
correlates with  
insulin levels in  
the body



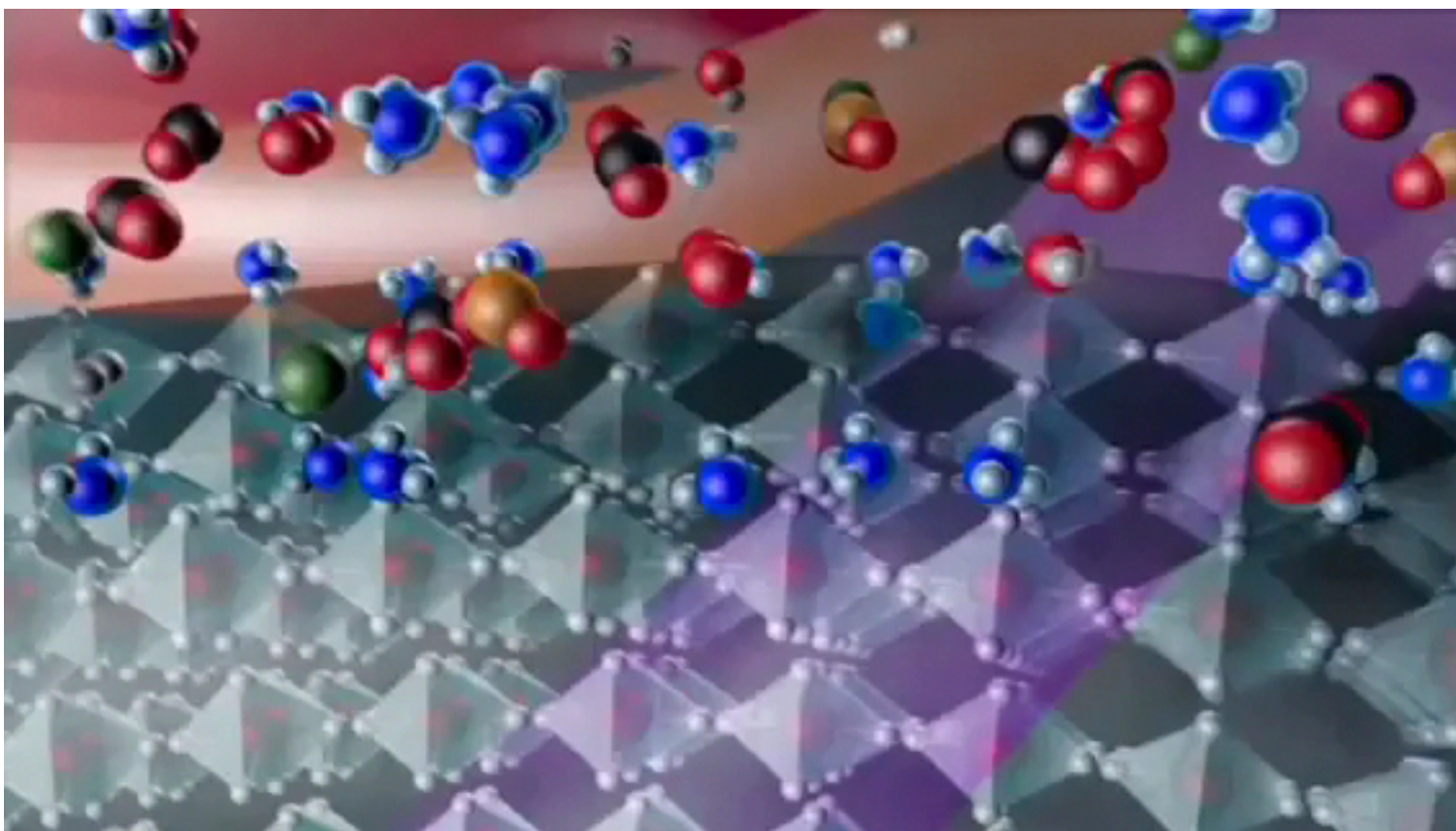
**Group D: oxides having the rutile structure**  
**( $TiO_2$ ,  $SnO_2$ ,  $IrO_2$ ,  $MnO_2$ )**



marker for  
cardiovascular  
diseases



## $\alpha$ -MoO<sub>3</sub> NANOWIRES SHOW SPECIFICITY TO AMMONIA GAS DETECTION



[http://www.nsf.gov/news/special\\_reports/science\\_nation/breathprinting.jsp](http://www.nsf.gov/news/special_reports/science_nation/breathprinting.jsp)





US007017389B2

(12) **United States Patent**  
**Gouma**

(10) **Patent No.:** **US 7,017,389 B2**  
(45) **Date of Patent:** **Mar. 28, 2006**

(54) **SENSORS INCLUDING METAL OXIDES  
SELECTIVE FOR SPECIFIC GASES AND  
METHODS FOR PREPARING SAME**

5,858,186 A 1/1999 Glass  
5,969,231 A \* 10/1999 Qu et al. .... 73/31.05  
5,993,625 A \* 11/1999 Inoue et al. .... 204/425  
6,173,602 B1 1/2001 Moseley

(75) Inventor: **Pelagia-Irene Gouma**, Port Jefferson,  
NY (US)

(73) Assignee: **The Research Foundation of SUNY at  
Stony Brook**, Stony Brook, NY (US)

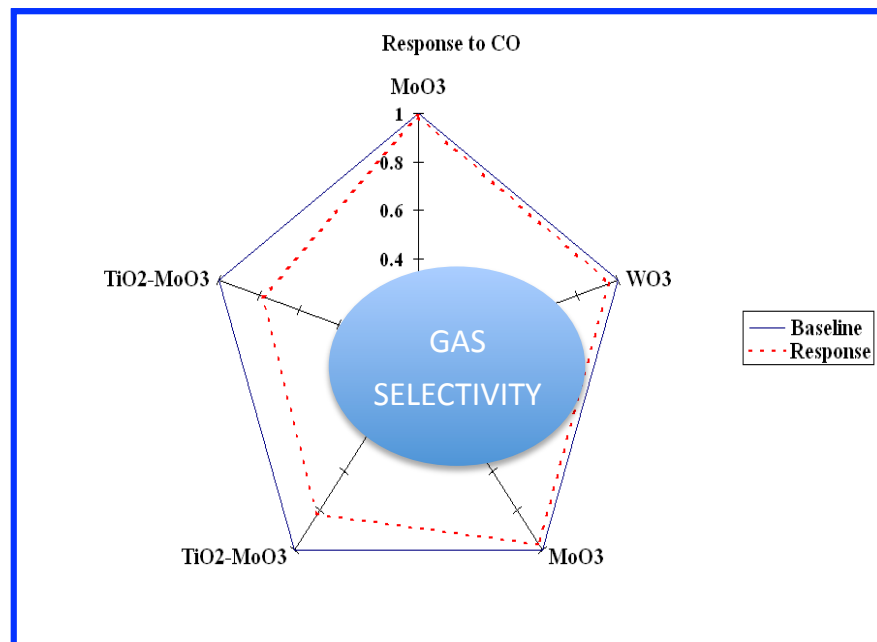
(\* ) Notice: Subject to any disclaimer, the term of this  
patent is extended or adjusted under 35  
U.S.C. 154(b) by 0 days.

(21) Appl. No.: **10/419,349**

(22) Filed: **Apr. 21, 2003**

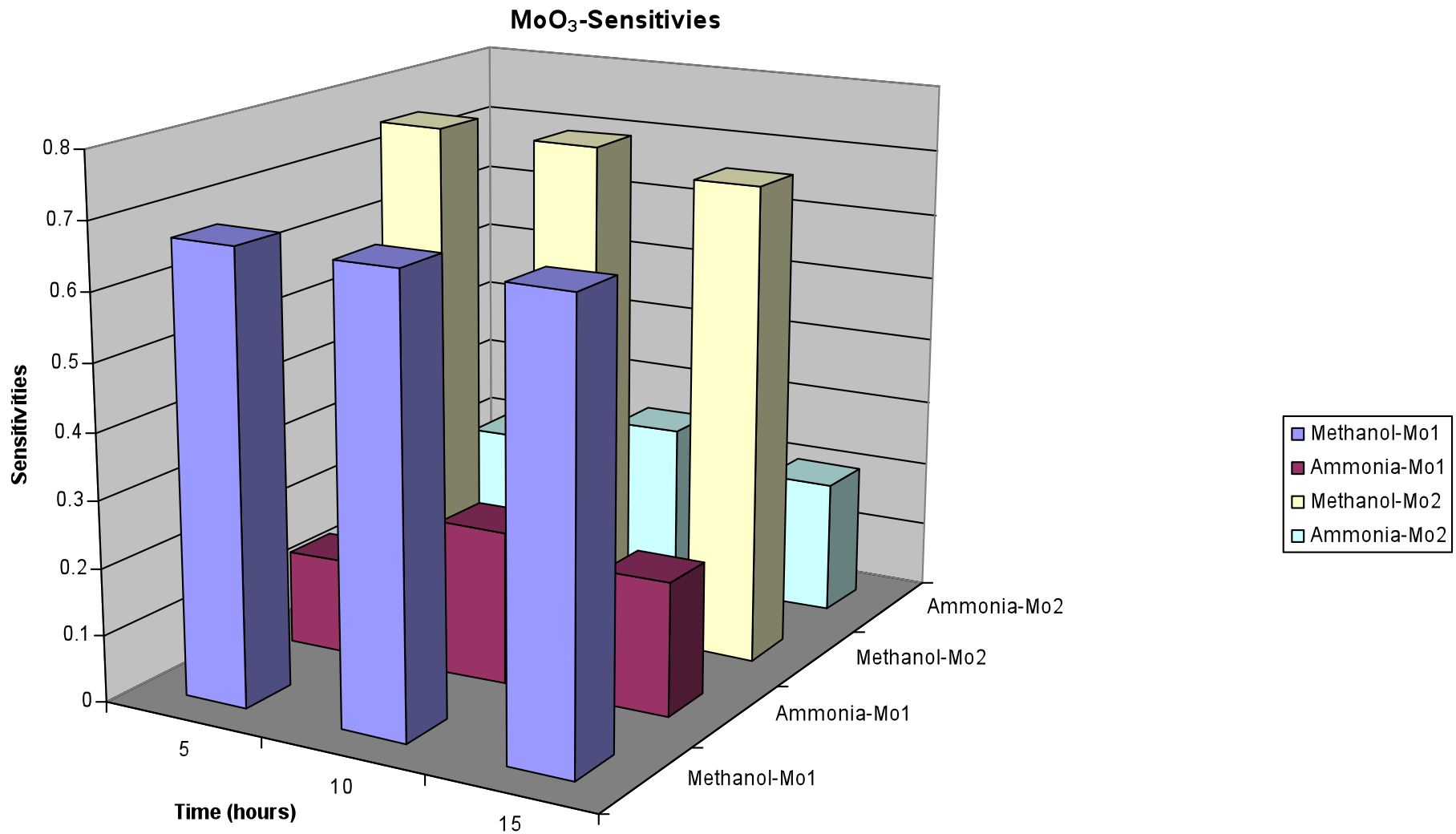
OTHER PUBLICATIONS

Imawan et al., "Gas-sensing characteristics of modified-  
MoO<sub>2</sub> thin films using Ti-overlayers for NH<sub>3</sub> gas sensors",  
*Sensors and Actuators B* 64 (2000) pp. 193-197.  
Imawan et al., "A new preparation method for sputtered  
MoO<sub>3</sub> multilayers for the application in gas layers",  
*Sensors and Actuators B* 78 (2001) pp. 119-125.  
Ferroni et al., "Nanosized thin films of tungsten-titanium  
mixed oxides as gas sensors", *Sensors and Actuators B* 58  
(1999) pp. 289-294.  
Chung et al., "Gas sensing properties of WO<sub>3</sub> thick film for  
NO<sub>2</sub> gas dependent on process condition", *Sensors and  
Actuators B* 60 (1999) pp. 49-56



P.I. Gouma, A. K. Prasad, and K.K. Iyer, "Selective Nanoprobes for `Signaling Gases`", *Nanotechnology*, 17, pp. S48-S53, 2006.

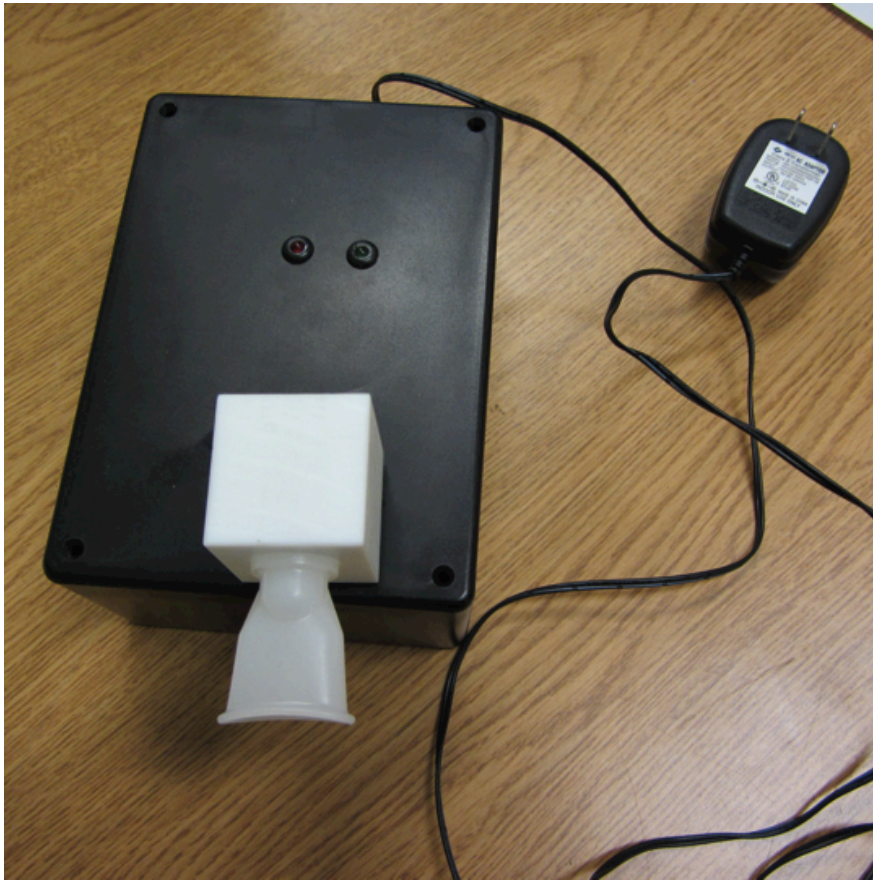
# SELECTIVE GAS SENSOR ARRAYS



P. Gouma, "Nanostructured Oxide-based Selective Gas sensor Arrays for Chemical Monitoring and Medical Diagnostics in Isolated Environments", *Habitation Journal*, vol. 10 (2), pp. 99-104, 2005.

## BREATHALYZER PROTOTYPES

### BINARY, ON-OFF MODEL



### NUMERICAL



## INTERFACING WITH MOBILE DEVICES



<http://www.insidescience.org/content/cellphones-detecting-asthma/980>





P. Gouma, “Nanoceramic Sensors for Medical Applications”, Am. Ceram. Soc. Bulletin, 91 (7), pp. 26-31, September 2012.

# Portable, Affordable, Non-invasive, Asthma Monitoring

## Application:

- **Nitric Oxide (NO) breathalyzer for monitoring of airway diseases** (such as asthma)
- consumer product, personalized monitoring of **fractional nitric oxide concentration (FENO)** in breath, home use
- Competition: three FDA approved devices for hospital use only costing \$\$\$\$

## Biomarkers:

- Key biomarker: **NO** in breath
- Measuring FENO measures airway inflammation
- NO is detectable in exhaled air in significant amounts: **from 0.2–1 ppm in the upper respiratory tract**; and 1–30 ppm at the nasal level
- Both the American Thoracic Society (ATS) and the European Respiratory Society (ERS) have published guidelines for the measurement of FENO:  
Dweik et al, "Am. J. Respir. Crit. Care Med, 184, pp. 602, 2011

## Validation:

- Sensor tests measuring NO concentrations ranging **from 100ppb to several ppm** have been carried out using synthetic air mixtures
- Prototyping of portable breathalyzer



# Application #1: Metabolic Rate Monitor

## Application:

- **Handheld breath-acetone monitor for diet control**
- Consumer product for diet control, daily repeated use, gives consumer direct measure of fat metabolism, indicates whether fat is burned instead of muscle

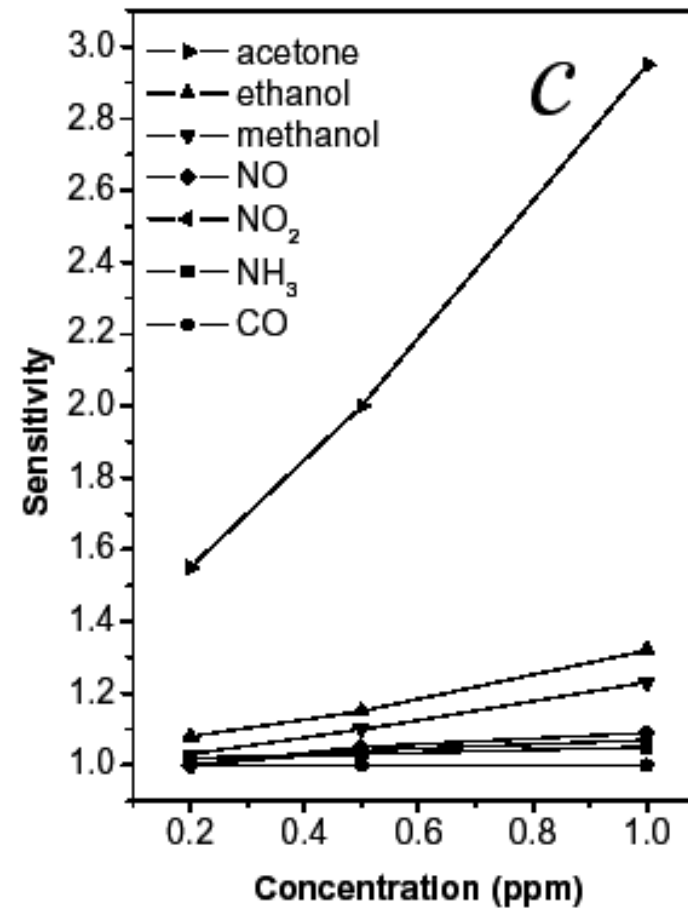
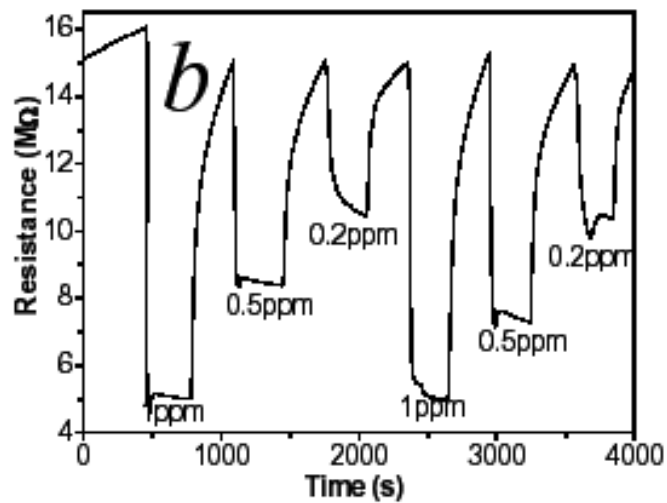
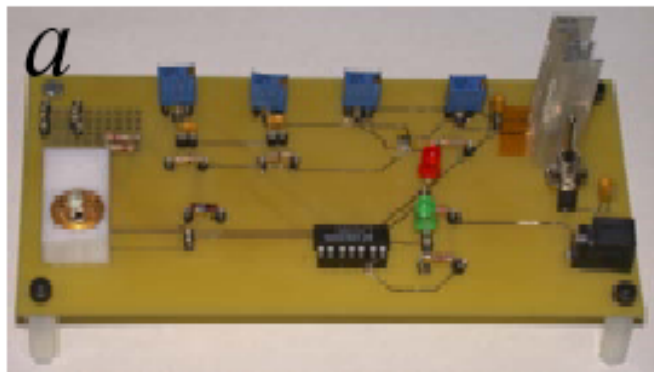
## Biomarkers:

- key biomarker: **acetone in breath**
- lipid degradation product
- Breath acetone of 500nmol/L indicates a weight reduction of about one-half pound per week <http://www.clinchem.org/content/29/1/5.full.pdf>
  - The minimum acetone concentration that needs to be detected is 200ppb
  - Acetone content increases fivefold by day 3-4 so the maximum concentration to be detected should be few ppm

P. Gouma et al, "Metabolic Rate Monitoring and weight reduction/management", EMBC, 2014

P. Gouma, Person. Med. , 8(1), pp. 15-16, 2011

# Acetone Breathalyzer for Diet Control



*Gouma's group: "Nanosensor Device for Breath Acetone Detection"*  
*Sensor Lett.*, 8(1-4), 2010

# Application #2: Diabetes Monitoring

## Application:

- **Hand-held breath acetone monitor for insulin levels control in diabetics**
- consumer product for daily use

## Biomarkers:

- Key biomarker: **acetone** in breath
- Acetone in breath is a more sensitive indicator of poor control of diabetes than blood glucose *Barnett, Clin Sci. 37, 570, 1969*
- Average concentration of acetone in the breath from a healthy human body <800ppb (0.8ppm) while that from a diabetic patient is >1.8ppm
- 
- For individuals suffering from diabetic ketoacidosis, the acetone concentration can exceed 500ppm

L. Wang and P. Gouma, "Selective Microstructure Synthesis and Sensing Dependencies: a  $\text{WO}_3$  study", in Metal Oxide Nanomaterials for Chemical Sensors, eds. M. A. Carpenter, Sanjay Mathur, and Andrei Kolmakov, Springer, NY, 2013.



# Acetone Breathalyzer for Diabetes Monitoring

Approximately linear relationship between Acetone Concentration and Sensor Sensitivity:

$$S = 1.19 + 1.68C$$

Empirical formula calculating the Acetone concentration between 0.2 ppm and 2 ppm

*From L. Wang (Gouma's advisee), Ph.D. thesis, "Tailored Synthesis and Characterization of Selective Metabolite-detecting Nanoprobes for Handheld Breath Analysis" SUNY Stony Brook, 2008*

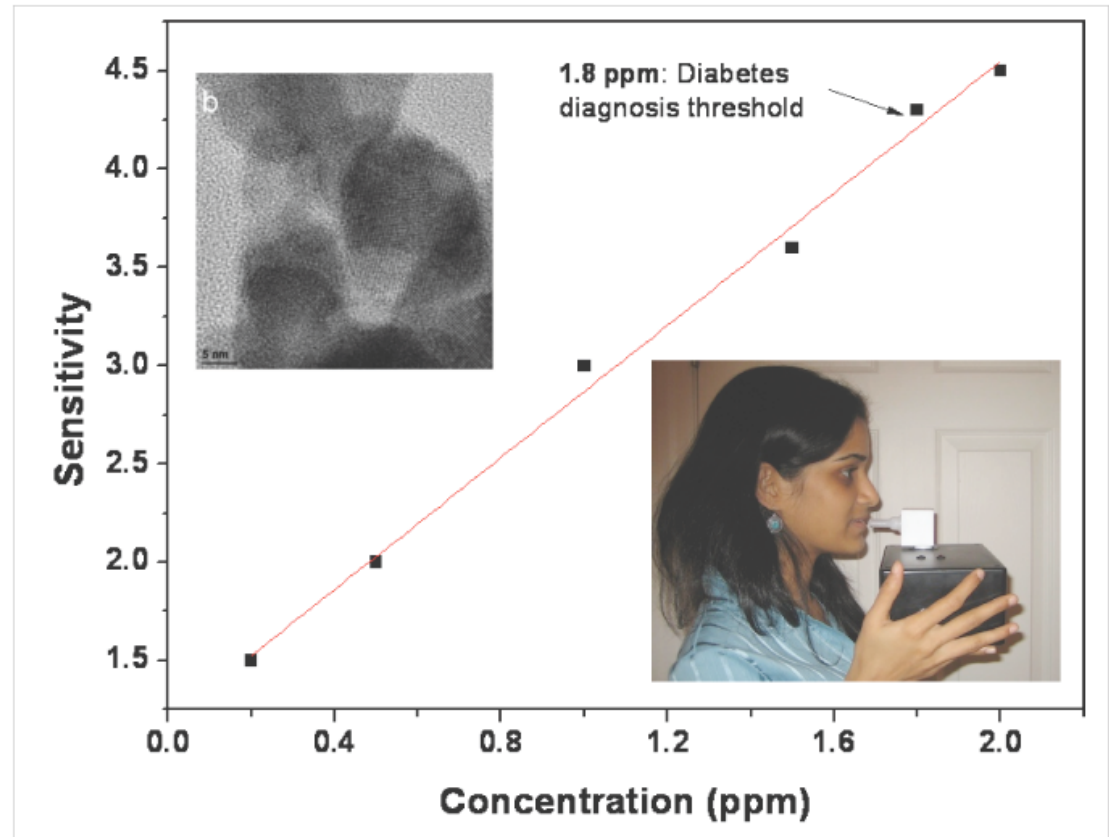


Figure 1: Plot illustrating linear sensor response in the range of acetone concentration relevant to diabetes detection, insets: b: nanoparticles of ferroelectric tungsten trioxide-based sensing element; and c: demonstrated use of the acetone breath-analyzer prototype.

# Application #3: Hemodialysis Monitoring

## Application:

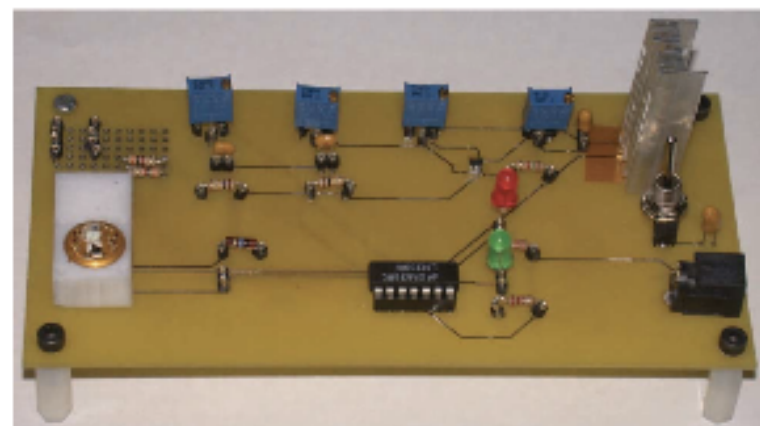
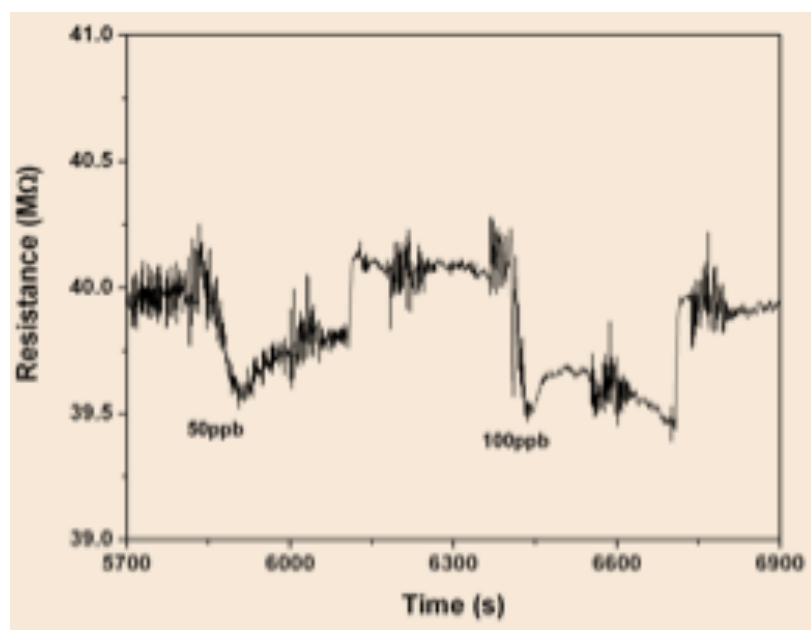
- **Breath ammonia monitor that determines end-point in hemodialysis**
- consumer product for home use

## Biomarkers:

- Key biomarker: **ammonia** in breath
- in healthy individuals, ammonia turns to urea that is excreted into urine by the kidneys <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC31883/>
- Those suffering from renal failure have elevated amounts of ammonia in breath exhale
  - At the beginning of hemodialysis breath ammonia concentration is 1-2ppm
  - Towards the end is 150-200ppb

# Nanosensor and Breath Analyzer for Ammonia Detection in Exhaled Human Breath

Perena Gouma, Krithika Kalyanasundaram, Xiao Yun, *Student Member, IEEE*, Milutin Stanaćević, *Member, IEEE*, and Lisheng Wang

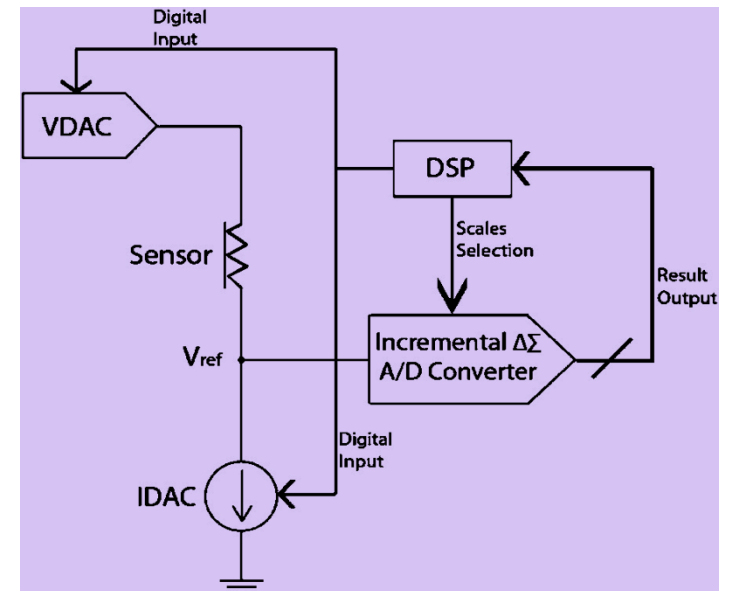
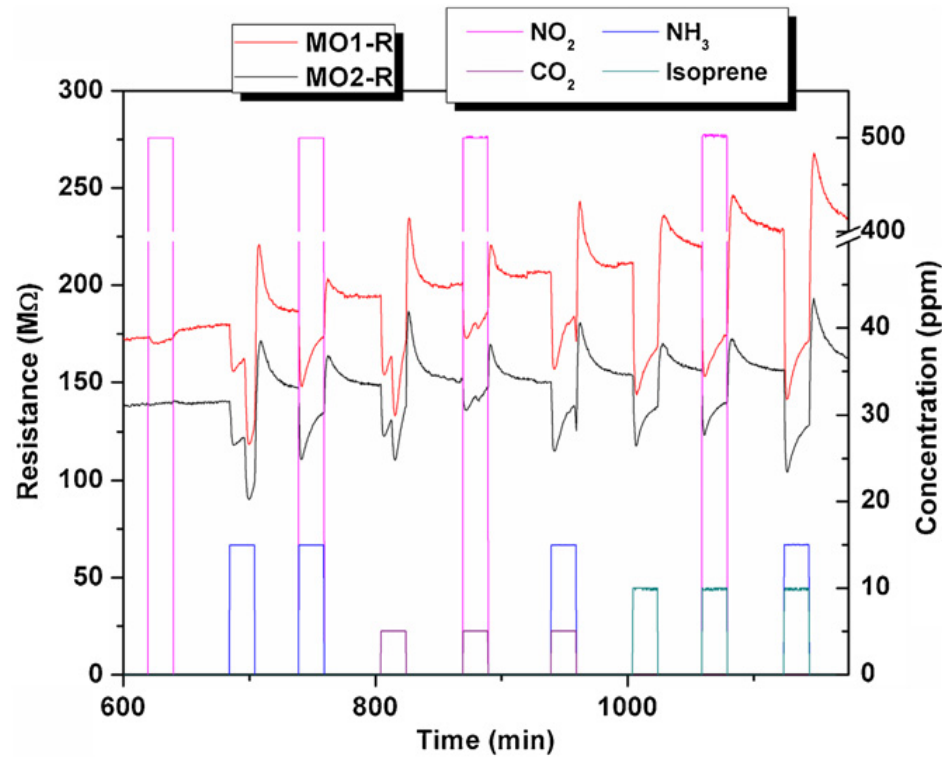


## NANOSENSOR DEVICE FOR AMMONIA/UREA DETECTION IN EXHALED HUMAN BREATH

P. I. Gouma, US/Patent No 7,017,389 issued on 3/28/2006, "Sensors Including Metal Oxides Selective for Specific Gases and Methods for Preparing Same"

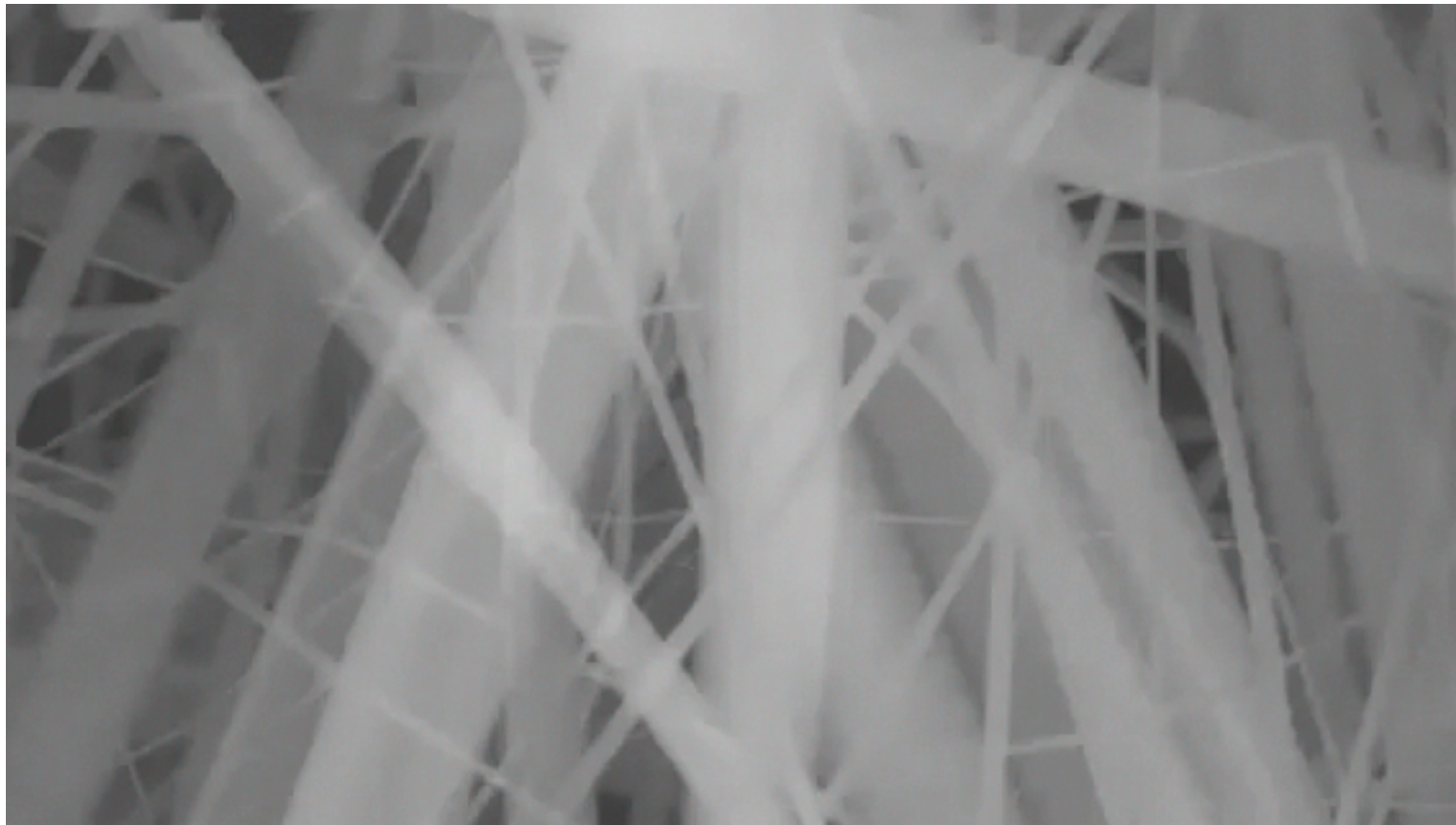
# Breath Isoprene Monitoring Microsystem

A selective nanosensor array device for exhaled breath analysis



*P Gouma et al J. Breath Res. 5, 037110, 2011*

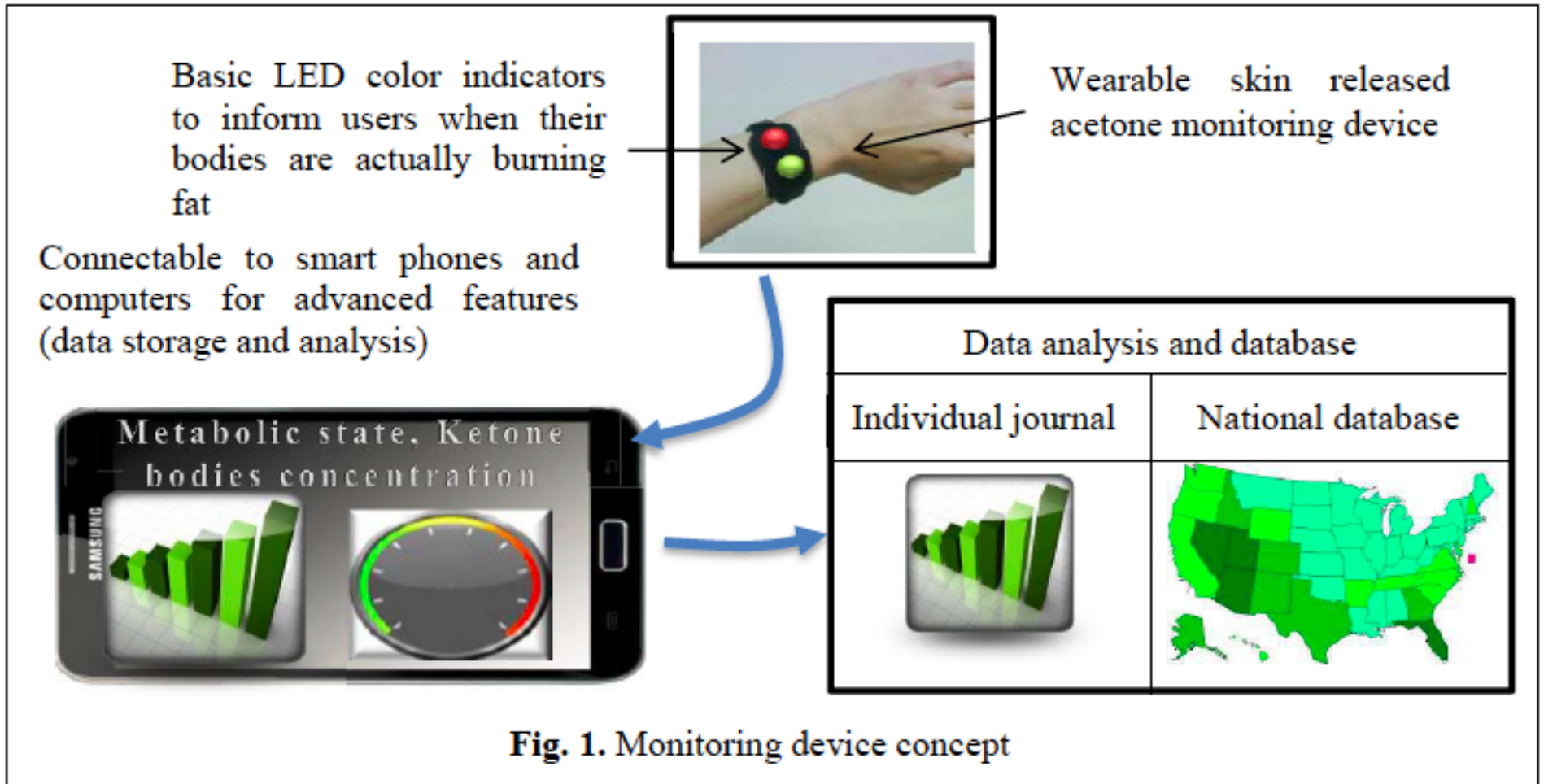
## TUNING THE BREATHALYZER FOR PATHOGEN DETECTION



[http://www.nsf.gov/news/special\\_reports/science\\_nation/breathprinting.jsp](http://www.nsf.gov/news/special_reports/science_nation/breathprinting.jsp)



# WEARABLE ACETONE MONITOR FOR DIET CONTROL



**Acknowledgments:** P. Gouma wishes to thank the NSF, for support through several grants including: DMR-1106168 , IIS-1231761 and her research group through the years (especially, Drs. Arun Prasad, Krithika Kalyanasundaram, Aisha Bishop, and Lisheng Wang) and her collaborators Profs. Milutin Stanacevic (ECE) and Sandy Simon (SOM).



## RELATED PRESS REPORTS

- **This Breathalyzer Reveals Signs of Disease (Science Nation)**
- <http://www.insidescience.org/content/cellphones-detecting-asthma/980>
- <http://video.foxnews.com/v/1772104500001/bad-breath-a-sign-of-disease>
- <http://www.newsday.com/news/health/stony-brook-awarded-asthma-monitor-grant-1.4122040>
- <http://www.asthmaallergieschildren.com/2012/10/07/breathalyzer-for-asthma-nanotechnology-holds-promise-for-better-cheaper-monitoring-of-inflammation/>
- <http://www.northshoreoflongisland.com/Articles-Arts-and-Lifestyles-i-2012-10-11-93979.112114-sub-SBUs-Perena-Gouma-and-team-work-to-beat-asthma.html>
- <http://www.scientificamerican.com/article.cfm?id=electronic-nose-disease-diagnosis#comments>